

UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No.	AMDI:131\HON
	First Named Inventor	Scott Goad
	Title	ASYMMETRIC HALO IMPLANTS
	Express Mail Label No.	EU790402874US

APPLICATION ELEMENTS	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450
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1.	<input type="checkbox"/>	Applicant Claims Small Entity Status under 37 C.F.R. 1.27
2.	<input checked="" type="checkbox"/>	Specification [Total Pages <u>20</u>] Title Background of the Invention Summary of the Invention Brief Description of the Drawings Detailed Description Claim(s) Abstract of the Disclosure
3.	<input checked="" type="checkbox"/>	Drawing(s) [Total Sheets <u>6</u>]
4.	Oath or Declaration/Power of Attorney	
a.	<input checked="" type="checkbox"/>	New executed (original or copy)
b.	<input type="checkbox"/>	Copy from a prior application
5.	<input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))
6.	<input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
7.	<input type="checkbox"/>	Preliminary Amendment
8.	<input checked="" type="checkbox"/>	Return Receipt Postcard
9.	<input checked="" type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122
10.	<input checked="" type="checkbox"/>	Other <u>Extra Return Receipt Postcard</u>

11. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior Application No.: / Group Art Unit: Examiner:

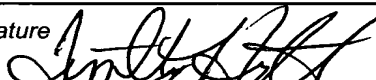
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

CLAIMS AS FILED		
FOR	NUMBER FILED	FEE
Total Claims 28	-20 = 8	x \$ 18 = 144
Independent Claims 3	- 3 = 0	x \$ 86 = 0
Multiple Dependent Claims Presented 0		x \$ 290 = 0
Basic Fee		\$ 770
Recording Fee for Assignment		\$ 40
TOTAL:		\$ 954

The Commissioner is authorized to charge the total filing fee to deposit account number 01-0365, Order No. AMDI:131\HON. If the fee is incorrect, the Commissioner is authorized to charge said deposit account for the correct filing fee amount. Two duplicate copies of this sheet are enclosed.

The Commissioner is authorized to charge any fees incurred under 37 C.F.R. 1.17 or credit any overpayment during the pendency of this application to the above listed deposit account.

<input checked="" type="checkbox"/> Customer No. 23858	<input checked="" type="checkbox"/> Correspondence address below
Name (Print/Type): Timothy M. Honeycutt, Attorney at Law	
Address: P.O. Box 1577, Cypress, Texas 77410-1577; Telephone (281) 379-7999; Fax (281) 379-7997	

Name (Print/Type): Timothy M. Honeycutt	Registration No. 37,153
Signature 	Date <u>October 24, 2003</u>

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Scott Goad
	Title	ASYMMETRIC HALO IMPLANTS
	Atty Docket Number	AMD1:131\HON

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 24, 2003
Date


Signature

Timothy M. Honeycutt
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.